



# Clinical Value of Neutrophil/Lymphocyte Ratio in Predicting Postoperative Complications and Prognosis in Patients with Colorectal Cancer Undergoing Surgical Treatment

## Nötrofil/Lenfosit Oranının Cerrahi Uygulanan Kolorektal Kanserli Hastalarda Postoperatif Komplikasyonları ve Prognozu Öngörebilmedeki Klinik Değeri

Orçun Yalav, Uğur Topal, Ayşe Gizem Ünal, İsmail Cem Eray, Ahmet Rencüzoğulları

Çukurova University Faculty of Medicine, Department of General Surgery, Adana, Turkey

### ABSTRACT

**Aim:** Recently, the preoperative systemic inflammatory response has been reported to be a prognostic factor in patients with colorectal cancer (CRC). In this context, the preoperative neutrophil/lymphocyte ratio (NLR) has been proposed as a useful predictor of prognosis. In this study, we aimed to determine the clinical value and prognostic significance of neutrophil/lymphocyte ratio in predicting postoperative complications in patients undergoing surgical treatment for colorectal cancer.

**Method:** Patients who underwent surgical treatment for colorectal cancer between 2015-2019 were included in the study. group 1 (Low NLR) and group 2 (High NLR) were formed. Demographic and clinical characteristics, intraoperative and postoperative results, and mean survival were compared. The value of NLR in predicting postoperative complications at the determined cut-off value was examined.

**Results:** Patients were divided into two groups according to the cut-off value of 2.08. Group 1 consisted of 56 patients, and group 2 consisted of 223 patients. Male sex was dominant in both groups (60% vs 64%,  $p=0.349$ ), while patients in group 2 received more neoadjuvant treatment (29.1% vs 12.5%,  $p=0.007$ ). Intraoperative complication rates were similar (1.8% vs 4%,  $p=0.369$ ), pathological grade ( $p=0.031$ ), and stage ( $p=0.113$ ) were similar. Postoperative complications were more common in group 2 (24.7% vs 10.7%,  $p=0.015$ ). Total survival was shorter in group 2 (46 months vs. 52 months,  $p=0.025$ ). At the determined cutoff value, NLR predicted postoperative complications with 22.94% specificity and 90.6% sensitivity.

**Conclusion:** High NLR was associated with postoperative complications and survival. Although it has a prognostic value, its value in predicting postoperative complications is limited and cannot be used alone.

**Keywords:** Colorectal cancer, neutrophil/lymphocyte ratio, prognosis

### ÖZ

**Amaç:** Son zamanlarda, preoperatif sistemik enflamatuvar yanıtın kolorektal kanserli hastalarda (CRC) prognostik bir faktör olduğu bildirilmiştir. Bu bağlamda preoperatif nötrofil lenfosit oranı (NLO), prognoz için faydalı bir öngörü faktörü olarak öne sürülmüştür. Bu çalışmada nötrofil/lenfosit oranının kolorektal kanser nedeniyle cerrahi tedavi uygulanan hastalarda postoperatif komplikasyonları öngörebilmedeki klinik değerini ve prognostik önemini saptamayı amaçladık.

**Yöntem:** 2015-2019 yılları arasında kolorektal kanser nedeniyle cerrahi tedavi, uygulanan hastalar çalışmaya dahil edildi. Grup 1 (NLO düşük) ve grup 2 (NLO yüksek) olmak üzere iki grup oluşturuldu. Gruplarda hastaların demografik ve klinik özellikleri intraoperatif ve postoperatif sonuçları ortalama sağkalımları karşılaştırıldı. NLO belirlenen cut off değerinde postoperatif komplikasyonları öngörebilmedeki değerine bakıldı.

**Bulgular:** Hastalar 2,08 cut-off değerine göre iki gruba ayrıldı grup 1=56 hastadan grup 2=223 hastadan oluşuyordu Her iki grupta da erkek cinsiyet baskındı (%60 vs,%64  $p=0,349$ ), grup 2'deki hastalar daha çok neoadjuvant tedavi almıştı (%29,1 vs %12,5  $p=0,007$ ), intraoperatif komplikasyon



Address for Correspondence/Yazışma Adresi: Uğur Topal MD,

Çukurova University Faculty of Medicine, Department of General Surgery, Adana, Turkey

Phone: +90 530 113 91 12 E-mail: sutopal2005@hotmail.com

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oranları benzer (%1,8 vs %4 p=0,369), Patolojik grade p=0,031 ve evre p=0,131 benzerdi. Postoperatif komplikasyon grup 2'de daha sık görülmüştü (%24,7 vs %10,7 p=0,015) Toplam sağ kalım grup 2'de daha kısa (46 ay vs 52 ay p=0,025) belirlenen cut-off değerinde NLO %22,94 spesifite ve %90,6 sensitivite ile hastada postoperatif komplikasyonu ön görüyordu.

**Sonuç:** Yüksek NLO postoperatif komplikasyonlarla ve sağ kalımla ilişkiliydi. Prognostik değeri olmakla beraber postoperatif komplikasyonları tahmin etmedeki değeri kısıtlıdır ve tek başına kullanılamaz.

**Anahtar Kelimeler:** Kolorektal kanser, nötrofil/lenfosit oranı, prognoz

## Introduction

Colorectal cancer (CRC) is the third most common type of cancer in men and the second most common type of cancer in women globally, according to the 2012 data of the International Cancer Agency.<sup>1</sup>

It is important to investigate prognostic biological factors in CRC. The patient's outcome may be affected by tumor biology. Similar clinical or pathological characteristics often show different clinical outcomes. Although TNM classification is useful in classifying patients and choosing treatment, patients with the same stage may have different clinical outcomes. Therefore, it is important to detect molecular markers, especially in the selection of adjuvant or targeted therapy, in aggressive CRC.

Inflammation has been shown to play an important role in the pathogenesis and progression of CRC. Lab markers such as C-reactive protein, hypoalbuminemia, Glasgow Prognostic Score, white blood cell count, neutrophil/lymphocyte ratio, or platelet/lymphocyte ratio used to evaluate systemic inflammatory response have been studied as prognostic and predictive factors in various tumoral diseases.<sup>2,3,4,5</sup> CRP, a marker of systemic inflammation, has been shown to be a prognostic factor in CRC patients.<sup>6</sup>

Neutrophil/lymphocyte ratio (NLR) is calculated by dividing neutrophil count by lymphocyte count in complete blood count and has been suggested to reflect the balance between pro-tumor inflammation and anti-tumor immune function, and its prognostic significance has been studied extensively in many solid tumors.<sup>7</sup> NLR was reported to be an important prognostic factor in CRC patients.<sup>8,9</sup>

However, inconsistent results also showed that NLR was not an independent prognostic factor for CRC in the Cox regression model of Wei et al.<sup>10</sup> Therefore, the prognostic value of NLR in CRC is controversial.

The aim of this study was to determine the value of Neutrophil/Lymphocyte Ratio in predicting postoperative complications and prognosis in patients with CRC who underwent curative surgery.

## Material and Methods

After obtaining permission from the Ethics Committee of Çukurova Faculty of Medicine dated 04.09.2019 and

numbered 91/29, 344 patients who underwent surgery for colorectal cancer between January 2015 and December 2018 were evaluated retrospectively. Patients who underwent palliative surgery, patients with metastatic disease, patients under the age of eighteen, pregnant patients, patients with chronic or hematological disease, steroid users, and patients whose records could not be reached were excluded from the study. The remaining 279 patients were included in the study.

The patients were divided into two groups according to the cut off value obtained from the ROC curve. Those lower than the cut off value of 2.08 were determined as group 1 (Low NLR), and those higher than 2.08 were determined as group 2 (High NLR). The relationship between NLR and clinicopathological parameters (age, sex, tumor stage, tumor markers, operation details, postoperative complications (clavien dindo 3 and above), wound site infection, intraabdominal abscess, evisceration, postoperative ileus, anastomotic leakage, reoperation, disease-free survival, median follow up) was statistically analyzed.

The criteria for discharge were meal tolerance without nausea or vomiting, defecation or stoma function, adequate pain control by oral analgesia, and independent mobilization.

Blood parameters were measured preoperatively. The total blood count was measured by an automated hematology analyzer (Roche Hitachi Cobas® 8000 Roche Diagnostics, Indianapolis, IN, USA). NLR was defined as the absolute neutrophil count divided by the absolute lymphocyte count.

## Statistical Analysis

Data were analyzed using IBM SPSS Statistics for Windows, version 24 (IBM Corp., Armonk, N.Y., USA). In the evaluation of the study data, student's t-test was used for comparison of quantitative data as well as descriptive statistical methods [mean, standard deviation, median, frequency, ratio, minimum (min), maximum (max)], and Mann-Whitney U test was used for the evaluation of NLR which was not normally distributed. Pearson's chi-square test and Fisher's exact test were used to compare qualitative data, and logistic regression was used for multivariate evaluations. The patients were divided into two groups based on the presence of postoperative complications,

and receiver operating characteristic (ROC) analysis was performed according to these groups. Diagnostic accuracy was evaluated using ROC curve analysis. Kaplan-Meier and Log Rank tests were used for survival analysis. A p-value of <0.05 was considered statistically significant.

## Results

In order to create a cut off value for NLR, ROC analysis and ROC curve were created. As a result of ROC analysis, the area under the ROC curve was calculated as 55.8%. According to the cut off value, if the NLR value is above 2.08, it is determined that the patient develops postoperative complications with 90.16% sensitivity and 22.94% specificity (Shown in Table 1 and Figure 1).

The patients were divided into two groups according to the cut off value of 2.08. Those lower than the cut off value of 2.08 were determined as group 1 (Low NLR), and it consisted of 56 patients, and those higher than 2.08 were determined as group 2 (High NLR) and it consisted of 223. There was no statistically significant difference between the groups in terms of mean age, sex, ASA scores, body mass index and tumor markers ( $p>0.05$ ). The patients in group 2 received more neoadjuvant treatment (29% vs 12%,  $p=0.007$ ). Demographic characteristics and preoperative findings of the patients are shown in Table 2.

The rate of laparoscopic surgery was similar between the groups (32.1% vs 42.6%  $p=0.101$ ). Operation times were similar (168 vs 171 min,  $p=0.592$ ). Intraoperative

complication rates were also similar (1.8% vs 4%,  $p=0.369$ ). Intraoperative complications were ureteral injury, small bowel injury, and spleen injury. Additional non-tumor intervention rates were similar ( $p=0.446$ ). Intraoperative features are given in Table 3.

When we looked at the pathological features of the tumors, histological types were similar ( $p=0.166$ ). The rate of poorly differentiated tumors was higher in group 2 than in group 1 (21.5% vs. 8.9%,  $p=0.031$ ). The distribution of the pathological stage was similar ( $p=0.131$ ). When we evaluated the response to treatment in patients receiving neoadjuvant treatment, there was no difference between the groups ( $p=0.439$ ). The pathological characteristics of the tumors are shown in Table 4.

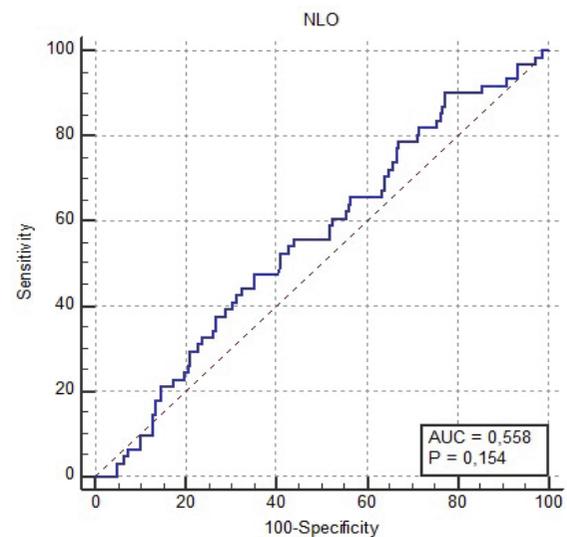
Duration of the postoperative hospital stay was similar between the groups ( $p=0.502$ ). Postoperative complications were higher in group 2 than group 1 (24.7% vs 10.7%,  $p=0.015$ ). Intraoperative abdominal abscess and postoperative ileus were higher in group 2 ( $p=0.034$ ;  $p=0.050$ , respectively). Wound infection, anastomotic leakage, and evisceration were similar ( $p=0.456$ ,  $p=0.426$  and  $p=0.574$ , respectively). There was no difference between the groups in terms of local recurrence and distant metastasis. Perioperative and postoperative clinical outcomes and oncological outcomes are shown in Table 5.

Total survival was lower in group 2 than in group 1 (46.87 months vs. 52.75 months,  $p=0.025$ ). It is shown in Table 6 and Figure 2. Disease-free survival rates were similar in the groups (52.73 vs. 48.13 months,  $p=0.073$ ). It is shown in Table 7 and Figure 3. Median follow up was  $29.1 \pm 13$  (1-54) months.

**Table 1.** Proposed cut-off values for significant parameters in postoperative complications

	NLR
AUC	0.558
Cutoff	>2.08
Specificity	22.94
95%-CI (%)	17.5-29.1
Sensitive (%)	90.16
95%-CI (%)	79.8-96.3
PPV	24.7
NPV	89.3
+LR	1.17
-LR	0.43
p	0.154

AUC: Area under the curve, CI: Confidence interval, nLLR: Negative likelihood ratio, pLLR: Positive likelihood ratio, NLR: Neutrophil-to-lymphocyte ratio, NPV: Negative predictive value, PPV: Positive predictive value



**Figure 1.** Receiver operating characteristic (ROC) curve analyses for postoperative complications

**Table 2.** Demographic characteristics and preoperative findings of the patients

		Low NLR n=56	High NLR n=223	p*
Age (Mean+SD) (Min-max)		59.60+11.71 29.0-83.0	61.91+12.21 20.0-107.0	0.205
Sex	Male	33 (60.0)	145 (64.7)	0.349
	Female	23 (40.0)	79 (35.3)	
ASA Score	1	24 (42.9)	111 (49.8)	0.633
	2	21 (37.5)	71 (31.8)	
	3	11 (19.6)	41 (18.4)	
BMI (Mean+SD) (Min-max)		27.17+5.66 19.8-50.0	26.13+4.30 18.0-51.0	0.131
CEA (Mean+SD) (Min-max)		6.46+14.63 0.0-77.0	5.54+12.28 0.0-146.0	0.631
Ca19.9 (Mean+SD) (Min-max)		93.59 +539.03 0.0-4036.0	33.75 +137.17 0.0-1760.0	0.139
Synchronous lesion		8 (14.3)	31 (13.9)	0.544
Neoadjuvant CRT (+)		7 (12.5)	65 (29.1)	0.007

ASA: American Society of Anaesthesiologists, BMI: Body mass index, CEA: Carcinoembryonic antigen; CRT: Chemoradiotherapy, NLR: Neutrophil/lymphocyte ratio

**Table 3.** Intraoperative features

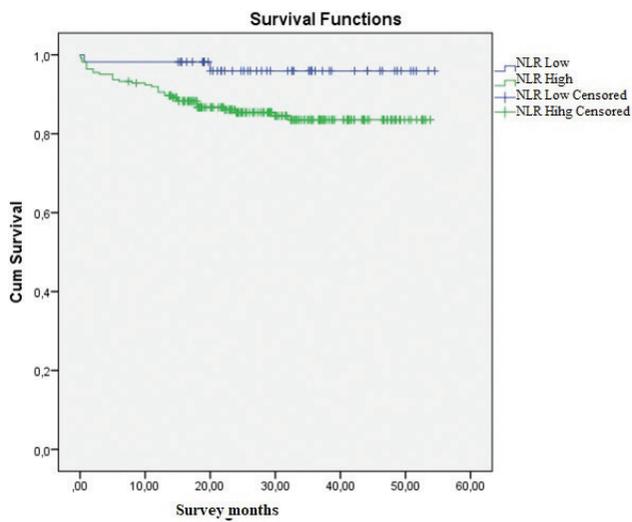
		Low NLR n=56	High NLR n=223	p*
Emergency/Elective	Emergency	5 (8.9)	29 (13.0)	0.281
	Elective	51 (91.1)	194 (87.0)	
Operation type Laparoscopic	Conventional	38 (67.9)	128 (57.4)	0,101
	Laparoscopic	18 (32.1)	95 (42.6)	
Operation duration (min-max)		168.48+37.26 20-250	171.09+31.41 90-250	0.592
Intraoperative complication Yes	Cholecystectomy	0 (0.0)	4 (1.8)	0.446
	Bladder repair	1 (1.8)	1 (0.4)	
	Cystoscopy	0 (0.0)	1 (0.4)	
	Splenectomy	0 (0.0)	1 (0.4)	
	Splenectomy + distal pancreatectomy	1 (1.8)	0 (0.0)	
	Surrenal biopsy	0 (0.0)	1 (0.4)	
	TAH+BSO	0 (0.0)	1 (0.4)	
	Ureter repair	0 (0.0)	3 (1.3)	
	None	54 (96.4)	211 (94.6)	

TAH-BSO: Total abdominal hysterectomy + bilateral salpingoophorectomy, min: Minimum, max: Maximum, NLR: Neutrophil/lymphocyte ratio.

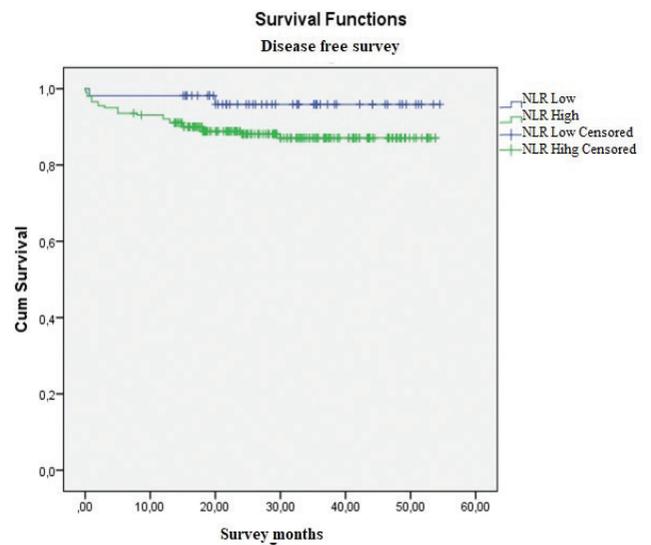
**Table 4.** Pathological characteristics

		Low NLR n=56	High NLR n=223	p*
Histological Type	Mucinous	6 (10.7)	45 (20.2)	0.166
	NOS	50 (89.3)	175 (78.5)	
	Signet ring	0 (0.0)	3 (1.3)	
Pathological grade	Poorly differentiated	5 (8.9)	48 (21.5)	0.031
	Mildly differentiated	16 (28.6)	75 (33.6)	
	Well differentiated	35 (62.5)	100 (44.8)	
Pathological stage	0	0 (0.0)	3 (1.3)	0.131
	1	13 (23.2)	41 (18.4)	
	2	0 (0.0)	1 (0.4)	
	2A	6 (10.7)	17 (7.6)	
	2B	10 (17.9)	66 (29.6)	
	2C	1 (1.8)	1 (0.4)	
	3A	4 (7.1)	10 (4.5)	
	3B	4 (7.1)	44 (19.7)	
	3C	4 (7.1)	40 (17.9)	
Treatment effect (only rectum)	Bad response	1 (25.0)	4 (8.3)	0.439
	Minimal response	1 (25.0)	9 (18.8)	
	Medium response	2 (50.0)	18 (37.5)	
	Full response	0 (0.0)	17 (35.4)	

NOS: Not otherwise specified, NLR: Neutrophil/lymphocyte ratio



**Figure 2.** Total survival according to NLR groups  
NLR: Neutrophil/lymphocyte ratio



**Figure 3.** Disease-free survival according to NLR groups  
NLR: Neutrophil/lymphocyte ratio

**Table 5.** Perioperative and postoperative clinical outcomes, oncological outcomes

		Low NLR n=56	High NLR n=223	p*
Postop hospitalization duration (mean + SD) (min-max)		10.05+6.69 4.0-40.0	9.32+7.34 1.0-75.0	0.502
Postoperative complication*		6 (10.7)	55 (24.7)	0.015
Wound site infection	Yes	7 (12.5)	32 (14.3)	0.456
	No	49 (87.5)	191 (85.7)	
Intraabdominal abscess	Yes	7 (12.5)	10 (4.5)	0.034
	No	49 (87.5)	213 (95.5)	
Evisceration	Yes	1 (1.8)	6 (2.7)	0.574
	No	55 (98.2)	217 (97.3)	
Ileus	Yes	2 (3.6)	26 (11.7)	0.050
	No	54 (96.4)	197 (88.3)	
Anastomotic leakage	Yes	2 (3.6)	5 (2.2)	0.426
	No	54 (96.4)	218 (97.8)	
Reoperation	Yes	3 (5.4)	12 (5.4)	0.647
	No	53 (94.6)	211 (94.6)	
Unplanned hospital readmission	Yes	6 (10.7)	31 (13.9)	0.352
	No	50 (89.3)	192 (86.1)	
Local recurrence	Yes	0 (0.0)	11 (4.9)	0.081
	No	56 (100.0)	212 (95.1)	
Distant organ metastasis	Yes	1 (1.8)	18 (8.1)	0.075
	No	55 (98.2)	205 (91.9)	

\*Clavien dindo 3 and above, NLR: Neutrophil/lymphocyte ratio, SD: Standart deviation, min: Minimum, max: Maximum

**Table 6.** Total survival duration according to NLR groups

		Average (Mean + SD) (min-max)	P
NLR Group	Low NLR	52.75+1.22 50.361-55.142	0.025
	High NLR	46.87+1.12 44.68-49.07	

NLR: Neutrophil/lymphocyte ratio, SD: Standard deviation

**Table 7.** Disease-free survival duration according to NLR groups

		Average (Mean + SD) (min-max)	P
NLR Group	Low NLR	52.73+1.23 50.31-55.15	0.073
	High NLR	48.13+1.09 45.99-50.27	

NLR: Neutrophil/lymphocyte ratio, SD: Standard deviation, min: Minimum, max: Maximum

## Discussion

In recent years, the relationship between inflammation and cancer has started to attract more attention and studies on the relationship between cancer and inflammation have been conducted. It has been shown that there is a significant relationship between systemic inflammation and relatively poor survival in various malignancies. Systemic inflammatory responses are thought to play a role in tumor progression

by inducing angiogenesis and inhibiting apoptosis and DNA damage, tumor proliferation, and metastasis.<sup>3,11,12</sup> In addition to the high-risk factors associated with different tumor characteristics, the host's immune system also plays a role in the invasion or metastasis of colon cancer.<sup>13</sup> The host inflammatory response to cancer cells is also associated with tumor progression.<sup>14</sup> Inflammation is closely associated with tumorigenesis. Colorectal cancers are infiltrated by various

immune cells such as neutrophils, T and B lymphocytes, dendritic cells, macrophages, natural killer cells, and mast cells.<sup>15,16</sup>

The meaning of high NLR remains unclear. NLR can potentially be affected by some conditions, especially chronic diseases.<sup>17</sup> For this reason, these patients were excluded when we were designing our study.

Right colon tumors are considered to be more immunogenic tumors than left colon tumors because of high lymphocyte infiltrations, their association with increased inflammatory response, and high rate of mutation.<sup>18</sup> In the series of Turker et al., it was reported that there was no statistical difference between right and left colon tumors in terms of NLR value.<sup>18</sup> Similarly, Choi et al.<sup>19</sup> reported in their study where the NLR cut off value was 2.6, that there was no correlation between the presence of tumor in the colon or rectum and NLR. In our series, there was no correlation between tumor localization and NLR, in support of the literature.

Kubo T et al.<sup>20</sup>, in their study where the cutoff for NLR was 2.29, reported that NLR was associated with poorly differentiated tumors. In contrast, Shen J et al. reported that there was no correlation between histological differentiation and NLR when the cutoff value for NLR was taken as 3.<sup>21</sup> In our series, poorly differentiated tumors were seen more frequently in patients with an NLR above 2.08 (21.5% vs 8.9%,  $p=0.031$ ).

Several studies have been conducted to identify chemical markers predicting increased postoperative complication risk after colorectal surgery. Procalcitonin and complement C3A levels in the first 24 hours after elective colorectal surgery were found to correlate with the presence of systemic inflammatory response syndrome (SIRS).<sup>22</sup> In studies investigating the relationship between NLR and postoperative complications, Cook et al.<sup>23</sup> found a similar NLR value in patients with and without complications, while Caputo et al.<sup>24</sup> reported in their study including rectum cancer patients who received neoadjuvant treatment, that an NLR over their cutoff value of 3.8 was associated with increased surgical complication rate. In our series, postoperative complications were found to be higher in the high NLR group than in the low NLR group (24.7% vs 10.7%,  $p=0.05$ ).

In response to specific chemokines, different immune cell subsets migrate to the tumor microenvironment and regulate tumor immune responses. Direct and indirect interactions in chemokine pathways can reshape the immune and biological phenotypes of a tumor, render the biological behavior unpredictable and alter its metastatic potential.<sup>25</sup> When the relationship between NLR and recurrence or distant metastasis was examined, no difference was found

in the conducted studies.<sup>24,26,27</sup> In our study, no correlation was found with NLR in terms of local recurrence ( $p=0.081$ ) and distant metastasis ( $p=0.075$ ), similar to the literature.

Chiang SF et al. reported that preoperative high NLR ( $>3$ ) affects disease-free survival in patients with stage I - III CRC. Elevated NLR ( $>3$ ) was associated with a worse outcome (5-year disease-free survival 66.3% vs. 78.9% in colon cancer,  $p<0.001$ ; 60.5 % vs. 66.2% in rectal cancer,  $p=0.008$ ).<sup>23</sup> In our series, the mean survival time was lower in the group with NLR higher than 2.08 compared to the group with NLR lower than 2.08 (44.6 months vs. 50.3 months;  $p=0.025$ ). However, the disease-free survival rate was similar in the two groups. Our study was in support of the literature and elevated NLR was associated with poor survival.<sup>17</sup>

The most important limitation of our study was its retrospective nature, and being a single-center study. However, our patient population was as large as those reported in the literature.

## Conclusion

Various parameters are being investigated to predict the course of CRC disease. We think that NLR, which can be measured easily, is a parameter that can be used to predict the development of postoperative complications and survival. However, further studies are needed.

## Ethics

**Ethics Committee Approval:** After obtaining permission from the Ethics Committee of Çukurova Faculty of Medicine dated 04.09.2019 and numbered 91/29.

## Informed Consent:

**Peer-review:** Internally and externally peer reviewed.

## Authorship Contributions

Surgical and Medical Practices: O.Y., U.T., A.G.Ü, İ.C.E., A.R., Concept: O.Y., U.T.,

Design: O.Y., U.T., İ.C.E., Data Collection or Processing: U.T., A.G.Ü, Analysis or Interpretation: O.Y., İ.C.E., A.R., Literature Search: O.Y., U.T., A.G.Ü, İ.C.E., A.R., Writing: O.Y., U.T., İ.C.E.

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