

## PRIMARY MEDICAL TREATMENT - CURRENT STATUS AND FUTURE APPLICATIONS

**Gabriel N. Hortobagyi, M.D**

*F.A.C.P., Professor and Chairman, Nellie B. Connally Chair in Breast Cancer Research, Department of Breast Medical Oncology, The University of Texas M. D. Anderson Cancer Center*

**A**djuvant chemotherapy has been traditionally administered exclusively in the post-operative setting.<sup>1</sup> However, numerous studies have evaluated its use pre-operatively.<sup>2</sup> This approach has been variously denominated neoadjuvant chemotherapy (NACT), primary chemotherapy, preoperative chemotherapy, up-front chemotherapy, and proto-adjuvant chemotherapy. The potential benefits to neoadjuvant chemotherapy include down-staging the primary tumor to allow breast-conserving surgery and assessment of a tumor's in vivo sensitivity to individual chemotherapeutic regimens.<sup>2-4</sup> The largest study evaluating the impact of neoadjuvant chemotherapy was the NSABP B-18.<sup>5</sup> In this study, 1,523 women were randomized to receive four cycles of doxorubicin and cyclophosphamide either prior to or after surgical resection. The timing of chemotherapy did not affect the disease-free or overall survival for the entire cohort, though more patients who received preoperative therapy were able to undergo breast conservation rather than mastectomy in comparison to those treated postoperatively. However, an important finding in this study was the clear correlation of pathological complete response (pCR) in the breast (absence of invasive cancer cells) with survival.<sup>5</sup> In this study, using a single chemotherapy regimen, the pCR rate was 13%. However, this did not include absence of lymph node involvement, but did include residual ductal carcinoma in situ in the breast. The pCR rate has become one of the most important intermediate trial endpoints in assessing the efficacy of new adjuvant chemotherapy regimens.<sup>6;7</sup> A second large randomized clinical trial had an identical design, and was implemented by the EORTC.<sup>8</sup> This study, while half the size of NSABP B-18, confirmed the findings of B-18, with equivalent survival rates and an increased rate of breast-conserving therapy after NACT.

A similar association between pathologic response and survival was shown when axillary lymph nodes were cleared after neoadjuvant FAC chemotherapy at the M.D. Anderson Cancer Center.<sup>7</sup> Published studies of anthracycline-based pre-operative chemotherapy demonstrate pathologic complete response rates up to

17%.<sup>6;7;8;9</sup> Several recently reported studies including the sequential use of anthracycline-based regimens and taxanes have achieved significantly higher pathologic responses ranging from 25 to 34%.<sup>10;11;12;13;14</sup> For instance, NSABP B-27 compared NACT with 4 cycles of doxorubicin and cyclophosphamide (AC) with the same followed by 4 cycles of docetaxel (AC + T). PCR rates were twice as high in the AC + T arm as in the AC arm. Another, smaller study by Smith et al., using an AC-like regimen followed by docetaxel suggested that both responders and non-responders to the AC-type regimen benefited from crossover to the taxane, in terms of higher response rates and longer time to progression and survival.<sup>12</sup> Whether these results can be confirmed in B-27 and other trials, remains to be seen. Longer follow-up is necessary to determine whether these high pathologic response rates seen from the sequential use of the taxanes in the preoperative setting will translate to a favorable impact on survival.

However, should pCR be validated by additional trial results as an accurate surrogate marker of long-term outcome, and even more importantly, if improvement of pCR rates can be shown to have a commensurate effect on long-term survival, we could short-cut the process of evaluation of adjuvant systemic therapies and accelerate the assessment of new systemic interventions by converting to the systematic use of NACT.

There are multiple remaining questions related to the use of this strategy, however. Some relate to optimal local-regional therapies: when should axillary assessment be performed in relation to NACT, what should be the criteria for administration of postmastectomy radiation therapy following NACT, and how to optimally perform breast-conserving surgery following NACT.<sup>15;16;17;18</sup>

The role and relative timing of neoadjuvant hormone therapy (NAHT) is also under intensive evaluation at this time. This is solely relevant to the group of patients with hormone receptor-positive tumors, but has potential impact on the type and sequence of local, regional and systemic therapies.

## Reference

1. Green MC, Hortobagyi GN: Adjuvant chemotherapy for breast cancer. *Langenbeck's Arch Surg* 387:109-116, 2002
2. Green M, Hortobagyi GN: Neoadjuvant chemotherapy for operable breast cancer. *Oncology (Huntington)* 16(7):871-84, 889; discussion 889-90, 892-4, 897-8, 2002
3. Hortobagyi GN, Buzdar AU: Locally advanced breast cancer: a review including the M.D. Anderson experience, in Ragaz J, Ariel IM (eds): *High-Risk breast cancer*, 1 ed. Berlin, Springer-Verlag, 1991, pp 382-415
4. Hortobagyi GN, Singletary SE, Buzdar AU, et al: Primary chemotherapy for breast cancer - MD Anderson experience, in Banzet P, Holland JF, Khayat D, et al (eds): *Proc of the 3rd International Congress on Neo-Adjuvant Chemotherapy*. Paris, Springer-Verlag, 1991, pp 146-148
5. Fisher B, Bryant J, Wolmark N, et al: Effect of preoperative chemotherapy on the outcome of women with operable breast cancer. *J Clin Oncol* 16:2672-2685, 1998
6. Feldman LD, Hortobagyi GN, Buzdar AU, et al: Pathological assessment of response to induction chemotherapy in breast cancer. *Cancer Res* 46:2578-2581, 1986
7. Kuerer HM, Newman LA, Smith TL, et al: Clinical course of breast cancer patients with complete pathologic primary tumor and axillary lymph node response to doxorubicin-based neoadjuvant chemotherapy. *J Clin Oncol* 17:460-469, 1999.
8. van der Hage JA, van de Velde CJ, Julien JP, et al: Preoperative chemotherapy in primary operable breast cancer: results from the European Organization for Research and Treatment of Cancer trial 10902. *J Clin Oncol* 19:4224-37, 2001.
9. Fisher B, Brown A, Mamounas E, et al: Effect of preoperative chemotherapy on local-regional disease in women with operable breast cancer: findings from National Surgical Adjuvant Breast and Bowel Project B-18. *J Clin Oncol* 15:2483-2493, 1997
10. Green MC, Buzdar AU, Smith T, et al. Weekly paclitaxel followed by FAC as primary systemic chemotherapy of operable breast cancer improves pathologic complete remission rates when compared to every 3-week (q3wk) paclitaxel therapy followed by FAC - final results of a prospective phase III randomized trial. *Proc Annu Meet Am Soc Clin Oncol* 21, 35a (abst 135). 2002.
11. NSABP. The Effect of Primary Tumor Response of Adding Sequential Taxotere to Adriamycin and Cyclophosphamide: Preliminary Results of NSABP Protocol B-27. *Breast Cancer Res Treat* 69[3], 210 (abst 5). 2001.
12. Smith IC, Heys SD, Hutcheon AW, Miller ID, Payne S, Gilbert FJ et al. Neoadjuvant chemotherapy in breast cancer: significantly enhanced response with docetaxel. *Journal of Clinical Oncology* 2002;20:1456-66.
13. Gianni, L., Baselga, J., Eiermann, W., et al: First report of the European Cooperative Trial in Operable breast cancer (ECTO): effects of primary systemic therapy on local-regional disease. *Proc Annu Meet Am Soc Clin Oncol* 21, 34a (abst 132). 2002.
14. Untch, M., Konecny, G., Ditsch, N., et al: Dose-dense sequential epirubicin-paclitaxel as preoperative treatment of breast cancer: results of a randomised AGO study. *Proc Am Soc Clin Oncol* 21, 34a (abst 133). 2002.
15. Mamounas EP, Sentinel lymph node biopsy after neoadjuvant systemic therapy. *Surg Clin North America*. 83: 931-942, 2003.
16. Buchholz TA, Tucker SL, Masullo L: Predictors of local-regional recurrence after neoadjuvant chemotherapy and mastectomy without radiation. *J.Clin.Oncol.* 20: 17-23, 2002.
17. Buchholz TA, Hill BS, Tucker SL: Factors predictive of outcome in patients with breast cancer refractory to neoadjuvant chemotherapy. *Cancer J* 7: 413-420, 2001.
18. Kuerer HM, Singletary SE, Buzdar AU: Surgical conservation planning after neoadjuvant chemotherapy for stage II and operable stage III breast carcinoma. *Am J Surg* 182: 601-608, 2001.